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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	229.021
	First Named Inventor	Patrick Pignot
	Title	Optical Coupler
	Express Mail Label No.	EV313038970US

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. (X) Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i> 2. () Applicant claims small entity status <i>See 37 CFR 1.27</i> 3. (X) Specification [Total Pages <u>8</u>] <i>(preferred arrangement set forth below, MPEP 1503.01)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure 4. (X) Drawing(s) (35 U.S.C. 113) [Total Sheets <u>1</u>] 5. Oath or Declaration [Total Pages <u> </u>] a. () Newly executed (original or copy) b. () Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 16 completed)</i> i. () DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33 (b). 6. () Application Data Sheet. See 37 CFR 1.76 7. () CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	8. () Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. () Computer Readable Form (CRF) b. () Specification Sequence Listing on: i. () CD-ROM or CD-R (2 copies); or ii. () paper c. () Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. () Assignment Papers (cover sheet & document(s)) 10. () 37 CFR 3.73(b) Statement () Power of Attorney <i>(when there is an assignee)</i> 11. () English Translation Document (if applicable) 12. () Information Disclosure Statement (IDS)/PTO-1449 () Copies of IDS Citations 13. (X) Preliminary Amendment 14. (X) Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. () Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. () Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) - Applicant must attached form PTO/SB/35 or its equivalent 17. (X) Other: French Search Report

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

() Continuation () Divisional () Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		 23598 USPTO CUSTOMER NO.		or <input type="checkbox"/> Correspondence address below	
Name	David D. Stein, Boyle, Fredrickson, Newholm, Stein & Gratz, S.C.				
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Name (Print/Type)	David D. Stein	Registration No. (Attorney/Agent)	40,828
Signature		Date:	July 17, 2003

07/17/03

16711 U.S. PTO



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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$1750.00)

Complete if Known

Application Number (new)

Filing Date July 17, 2003

First Named Inventor Patrick Pignot

Examiner Name (unassigned)

Art Unit

Attorney Docket No. 229.021

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

 Deposit Account Number
 Deposit Account Name

50-1170

Boyle Fredrickson Newholm Stein & Gratz S.C.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	750
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$750.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below	Fee Paid
Total Claims	<input type="text"/>	-20**=	<input type="text"/>	X	<input type="text"/>
Independent Claims	<input type="text"/>	- 3**=	<input type="text"/>	X	<input type="text"/>
Multiple Dependent	<input type="text"/>				<input type="text"/>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

David D. Stein

Registration No.
(Attorney/Agent)

40,828

Telephone

414.225.9755

Signature

David D. Stein

Date

July 17, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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July 17, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL EV313038970US

Re: New Nonprovisional Utility Patent Application
Inventors: Patrick Pignot and Xavier Salmon
Title: *Optical Coupler [Coupleur Optique]*
Priority: French Patent Application No. FR 02 08097; filed 7/17/02
Customer Account No.: 23598
Attorney Docket No.: 229.021

Dear Sir:

Please find enclosed a new utility nonprovisional application that claims priority in the above-identified pending French application. This nonprovisional application includes 6 pages of specification, 9 claims on 1 sheet, an Abstract, and 5 drawing figures on 1 sheet. Also enclosed is a 6-page Preliminary Amendment, fee transmittal form, a check in the amount of \$750.00, a French Search Report with references, and a return postcard. An English translation of the application, a certified copy of the priority document, FR 02 08097, a Declaration and an Assignment will be filed at a later date.

The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,

David D. Stein, Reg. No. 40,828

CERTIFICATION UNDER 37 CFR 1.10

Express Mail Label No.: EV313038970US

Date of Deposit: July 17, 2003

I hereby certify that this paper is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

David D. Stein